RESEARCH ARTICLE

Salivary nitric oxide, Simplified Oral Hygiene Index, and salivary flow rate in smokers and non-smokers: a cross-sectional study [version 1; peer review: 2 approved with reservations]

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Abstract

Background: Salivary nitric oxide plays an important role as an antibacterial agent in the oral cavity. Here, we analyze salivary nitric oxide, Simplified Oral Hygiene Index (OHI-S) scores and the salivary flow rate in smokers and non-smokers which has not been done previously.

Methods: A cross sectional study included 25 smokers and 25 non-smokers. Their OHI-S results were categorized as “good,” “medium,” or “bad.” Unstimulated saliva samples were collected, and their nitric oxide concentration was measured using the Griess method.

Results: The salivary flow rate in smokers was lower, at 0.30 ml/minute, compared to non-smokers who had a salivary flow rate of 0.33 ml/minute. This was statistically insignificant. There was a significant difference in the concentrations of nitric oxide between smokers and non-smokers (p < 0.05). Smokers had higher concentrations than non-smokers (185.4 µM Vs 114.60 µM). In addition, there was a moderate positive correlation (r = 0.305) between the concentration of salivary nitric oxide level and the OHI-S results.

Conclusions: It was concluded that salivary nitric oxide concentration was higher in smokers, and the oral hygiene condition of smokers was poor.

Keywords
Salivary Nitric Oxide, Oral Hygiene Index, Salivary Flow Rate, Smoker, Griess Method, Whole Saliva

Open Peer Review

Invited Reviewers

1. Juni Handajani, Universitas Gadjah Mada, Yogyakarta, Indonesia
2. Muhammad Sohail Zafar, Taibah University, Medina, Saudi Arabia

Any reports and responses or comments on the article can be found at the end of the article.
Introduction

Salivary nitric oxide (NO) is a free radical that plays an important role as a regulator for various physiological and pathological body mechanisms. It can act as a nonspecific antimicrobial defense system in the oral cavity, either through inhibiting bacterial growth or enhancing macrophage-mediated cytotoxicity\(^1\). There are two main sources of salivary NO in the oral cavity; chemically from physiological reduction by the enzyme nitrate reductase, released by certain bacteria, that converts nitrate (NO\(_3^-\)) to nitrite (NO\(_2^-\)). The other is through a reduction process facilitated by certain bacterial products resulting from the dental plaque microflora, forming nitrogen oxide and nitric acid. Unstable and spontaneous nitric acid decomposes into NO\(^1\), NO can also be produced enzymatically from L-arginine, induced by nitric oxide synthase (NOS), an enzyme in the salivary gland\(^2\). NOS has three isoforms: neuronal NOS (nNOS; Type I, NOS-I); inducible NOS (iNOS; Type II, NOS-2) present in various cells and tissues, produced in immunocompetent cells, like macrophages, infected by bacteria involved in regulating inflammatory reactions; and endothelial NOS (eNOS; Type III, NOS-3) found in the endothelial vascular cells\(^3,4\). High levels of NO can cause inflammation, immune disorders, neurological diseases, atherosclerosis, and cancer\(^5\).

The relationship between oral health and the body’s ability to produce nitric oxide is mainly part of a system known as an enterosalivary sequence. Nitric oxide (NO) levels in the oral cavity and saliva have been associated with various oral diseases. There is a hypothesis that the harmful effects of tobacco smoke may be the result of the accumulation of oxidative endothelial cell damage. Due to the presence of NO in tobacco smoke (about 500 ppm of NO and other active nitrogen oxides), it was expected that as a result of smoking, the NO metabolites in systemic circulation will increase\(^6\). Since smoking may affect the oral microenvironment, in this study the analysis was performed in relation to smoking habits.

Methods

The study groups included 25 smokers and 25 non-smokers, aged 18–24 years, studying at the Universitas Indonesia; consecutive sampling was used from August to October 2018. A sample size of convenience was used. Invitation to participate was posted on announcement boards of the Faculty of Dentistry, Universitas Indonesia, to which participants responded via mobile telephone. This cross-sectional study obtained ethical approval from the ethical research committee of the Faculty of Dentistry, Universitas Indonesia, 2018 (89/Ethical Approval/ FKGUI/VII/2018). Before samples were collected, written consent (informed consent) was obtained from participants according to the guidance provided by the Ethics Committee of Faculty of Dentistry, Universitas Indonesia.

Inclusion criteria

All participants: 18–24 years old (late adolescence/young adulthood), in good health, absence of systemic disease or history of systemic disease, normal growth and development, not having consumed food for the two hours before sampling. We assessed young adults assuming that they had not suffered any age related disease that could interfere with levels of NO. Smokers: not having smoked in the hour prior to sampling, being smoking for more than six months and consume at least one tobacco cigarette per day (containing no cloves). Non-smokers: never smoked or not smoked for more than 5 years.

Exclusion criteria

All participants: age <18 years or >24 years, having systemic or congenital diseases related to the oral cavity, currently undergoing medical therapy, currently taking local or systemic medication that might affect saliva composition.

All participants were interviewed in order to obtain all data, which were recorded using a data form (available as Extended data\(^6\)) prior to data entry into a computer spreadsheet. Each interview was conducted by two authors of this study team (ACP and EWB) at the Faculty of Health Science Universitas Indonesia, Depok Indonesia. Participants were instructed not to ingest food for a minimum of two hours before their saliva samples were collected. The smokers were classified into “light,” “moderate,” and “heavy” smokers based on the number of cigarettes consumed in a day (light:1-10 cigarettes, moderate; 11-20 cigarettes and heavy smoker, smoking more than 20 cigarettes), Individuals who had not smoked in the past 5 years were classified as non-smokers. According the study at the Behavioral Endocrinology Laboratory at Penn State University, Newport, one cigarette contains on average 13.4 mg nicotine. Hence we categorized in a day light smoker have consumed less than 134 mg nicotine, moderate smoker between 134-268 mg nicotine and heavy smoker more than 268 mg nicotine.

Oral hygiene was determined using the Simplified Oral Hygiene Index (OHI-S), comprising two components: plaque (debris) and calculus. The OHI-S was calculated on six tooth surfaces consisting of buccal surfaces in teeth 11, 16, 26, and 31; and lingual surfaces in teeth 36 and 46\(^6\). The OHI-S categories and their corresponding scores were as follows, good (0.0-1.2) moderate (1.3-3.0) and bad (3.1-6.0)\(^6\).

In this study, the saliva samples collected were unstimulated whole saliva (UWS). Over a period of three minutes, all saliva was collected in a 14-ml Falcon tube and the resulting volume was divided to 3 to get the salivary flow rate per minute. Following this, a further sample was collected by having individual participants spit 1 ml saliva into a sterile 14 ml tube. It was then transferred and stored in 1.5 ml microcentrifuge tube containing 500 µL phosphate buffer saline (PBS) (Sigma-Aldrich Dorset UK. Cat.P4417) and a protease inhibitor 0.1M Phenyl methane sulfonyl fluoride (PMSF) (Sigma-Aldrich, Cat. 329-98-6). The saliva samples were centrifuged at 5000xg for 5 min at 4°C. This was done, to separate the supernatant and the pellet in the saliva. The supernatant, containing organic components, was then stored at -20°C and the pellets were discarded. The NO concentration of the supernatant was determined by nitrite levels, using the Griess reaction (Sigma-Aldrich, Dorset, UK, Cat. G4410). Briefly, a duplicate of 50 µL of the supernatant and 50 µL of Griess reagents were mixed and incubated in the dark for 10 minutes at room temperature, then the mixture was read at...
450 nm by a spectrophotometer (AccuReader, M965/M965+) in Nangang, Taipei, Taiwan.

**Statistical analysis**
Data were analyzed using SPSS version 22.0. The Mann-Whitney U-test was used to analyze differences between smokers and non-smokers, ANOVA was used to analyze the significant difference between four groups (non-smokers, light smokers, moderate smokers, and heavy smokers). Spearman’s correlation was used to analyze the relationship between salivary flow rate or salivary nitric oxide concentration and OHI-S (for smokers only).

**Results**

**Unstimulated whole salivary flow rate in smokers and non-smokers**
The results, after measuring the unstimulated salivary flow rate in 25 smokers and 25 non-smokers, showed that the flow rate in smokers was lower at 0.30 ml/minute, with a minimum value of 0.10 ml/minute and a maximum value of 1.67 ml/minute. In comparison, non-smokers had a flow rate of 0.33 ml/minute, with a minimum value of 0.06 ml/minute and a maximum value of 1 ml/minute (Figure 1). Analysis through the Normality test showed that the distribution of data was abnormal. There was no significant difference between unstimulated salivary flow rates in smokers and non-smokers according to the Mann-Whitney statistical test (p = 0.748).

**Unstimulated whole salivary flow rate within the smokers’ group, based on the extent of smoking**
There was a trend of decreasing salivary flow rate with increasing levels of smoking from “light,” to “moderate,” to “heavy”. The results of the Kruskal Wallis statistical test showed that the average unstimulated salivary flow rate in heavy smokers was lower than that of light and moderate smokers, with no significant difference between the smokers (p = 0.151). As shown in Figure 2, light smokers had an unstimulated salivary flow rate of 0.50 ml/minute (minimum value of 0.13 ml/minute and a maximum of 1.67 ml/minute). The unstimulated salivary flow rate in moderate smokers was 0.2830 ml/minute (minimum 0.10 ml/minute, and maximum value was 0.67 ml/minute). Heavy smokers had a salivary flow rate of 0.20 ml/minute with a minimum value of 0.10 ml/minute and a maximum value of 0.30 ml/minute.

**The concentration of salivary nitric oxide in smokers and non-smokers**
Based on the results of the Mann-Whitney statistical test, there was a significant difference between the concentrations of NO in smokers and non-smokers (p = 0.040). Smokers displayed higher salivary NO concentrations (185.4 µM; 114.60-295.10 µM) than non-smokers (139.5 µM; 97.70-538.4 µM) (Figure 3).

**The concentration of salivary nitric oxide within the smokers’ group, based on the extent of smoking**
Amongst the 25 smokers, 14 (56%) were light smokers, 8 (36%) were moderate smokers, and 3 (12%) were heavy smokers. Figure 4 shows an increasing trend in NO concentrations in the saliva with increased extent of smoking. ANOVA analysis found no significant difference in NO concentration (p = 0.600) between the different smoker groups. The concentration of NO in heavy smokers was higher than that for moderate and light smokers; 220.333 µM for heavy smokers, 196.950 µM for moderate smokers, and 183.642 µM for light smokers.

**Relationship between unstimulated whole salivary flow rate and the status of oral hygiene indicated by the OHI-S for the smoker group.**
Results of the Spearman’s correlation test to determine the relationship between salivary flow rate of the smokers and the status of oral and dental hygiene indicated by OHI-S scores, found an insignificant negative relationship (r = -0.118, p = 0.573). This is depicted in Figure 5.

![Figure 1. Unstimulated whole salivary (UWS) flow rate in smokers and non-smokers. SFR, salivary flow rate.](image)
The relationship between salivary nitric oxide concentration and the status of oral hygiene assessed through the OHI-S of smokers

The results of the analysis of the relationship between NO concentrations and oral hygiene status assessed through the OHI-S of smokers are illustrated in Figure 6. The Spearman’s correlation test showed that there was a moderate positive but insignificant relationship ($r = 0.305$, $p = 0.138$) between the concentration of NO and the OHI-S.

Discussion

NO is a key element in saliva that regulates various physiological and pathological mechanisms of the body and can act as an antibacterial agent. Saliva can act as a biomarker for the occurrence of health problems, especially in the oral cavity, which correlates to responses from the immune system. Using unstimulated saliva is an accurate method for analyzing salivary gland status through the salivary flow secreted per minute.

In this study, we observed smoking habits can affect NO concentration in saliva. This was further associated with dental and oral hygiene. As a result of exposure of the oral cavity to the components of cigarettes, smoking habits can affect elements in the oral cavity, one of them being the salivary flow rate. This study showed that the salivary flow rate for smokers
**Figure 4.** Salivary nitric oxide concentration within the smokers’ group, based on the extent of smoking.

**Figure 5.** Relationship between salivary flow rate and oral hygiene status in the smokers group. UWS, unstimulated whole saliva.

**Figure 6.** Relationship between salivary NO concentration and oral hygiene status in the smokers’ group.
was lower, compared to non-smokers, though the difference was not significant. The results of this study correlate with the results of Khan et al., which also stated the lack of a significant difference in salivary flow rates of smokers and non-smokers10. According to Singh et al. and Khan et al., there is a decrease in the flow rate of saliva in smokers compared to non-smokers owing to the heat and effect of toxins from cigarettes produced during smoking. There is a declined blood flow in the salivary glands, causing decreased flow of saliva from the disturbed salivary gland19.

The process of salivary secretion is quite complex, and the flow and composition of saliva can be influenced by several factors1. These include the duration and extent of smoking per day, the more cigarettes consumed and longer the duration of the oral cavity’s exposure to the content of cigarettes, the lower the flow of saliva in the mouth20,21. Previous studies reported that heavy smokers who indulged in regular smoking habits for more than 6 months, showed decreased salivary secretions compared to non-smokers10. In a study conducted by Fenoll-Palomares et al., there was an increase in salivary flow rate in smokers who smoked a short period, but the difference between salivary flow rates in long-term smokers and non-smokers was unknown11. In this study, as with the previous studies, the salivary flow rate of heavy smokers was lower than light and moderate smokers, indicating that smoking intensity affects the secretion of salivary flow rates. Data from Figure 2 indicates that light smokers can experience increased salivary gland activity leading to an increase in the rate of saliva flow, while those who have smoked for a longer period of time decrease the rate of saliva flow12.

The reduced flow rate of saliva can affect its protective function in the oral cavity. The content of cigarettes, can cause poor oral hygiene, halitosis, and lead to reddish and white local lesions13. Based on the results of this study, we found a weak negative relationship between salivary flow rates in smokers, and dental and oral hygiene conditions. Several studies have shown that oral hygiene conditions in patients who are smokers are worse in regard to dental plaque accumulation, compared to those who are non-smokers14,15. This is due to smoking habits that affect salivary flow rates as the parotid gland’s function of secreting dilute saliva, is adversely affected when exposed to cigarette smoke. This causes a change in the nature of the salivary secreted. For instance, the sublingual and submandibular glands will secrete mucin saliva, having a thicker consistency, increased salivary mucin can reduce other components of saliva such as immunoglobulins and enzymes, which have function as an inhibitor of plaque growth and so a reduced quantity of saliva can increase plaque formation16,17.

Changes in salivary flow rate due to smoking can affect dental and oral hygiene. The physiological balance inside the oral cavity is disturbed due to smoking, demonstrated by the concentration of NO in the saliva18,19. This study found that NO concentrations in smokers were significantly higher than non-smokers. This, however, contrasts with the findings of Rezaei et al., where the concentration of NO in smokers was lower than that of non-smokers due to a decrease in the expression of the eNOS enzyme gene following exposure to cigarettes, causing decreased NO metabolism. NO is produced from NO-forming enzymes, additional dietary or atmospheric NO intake, and the result of bacterial oxidation metabolism, which acts as a non-specific defense mechanism in the oral cavity to prevent excessive bacterial growth20,21. In this study, the increased concentration of NO was likely due to high bacterial activity, causing plaque, indicated by the high OHI-S.

The results of this study from the correlation tests conducted by the researchers reveal that there was a moderate positive but insignificant relationship between salivary NO concentration and the status of dental and oral hygiene, assessed using the OHI-S. This study is in line with the research conducted by Mobarak and Abdallah which showed no significant relationship between the concentration level of NO and the status of oral hygiene22. According to Bayindir et al., increased NO concentration correlated with poor oral and dental hygiene23. This is because the thickness of the plaque that is mature, inhibits the diffusion of oxygen into the deeper layers where the facultative anaerobic bacteria are located. Resultantly, the bacteria multiply owing to lack of oxygen, producing more NO because of increased bacterial activity.

The results of this study seem to indicate that in smokers if OHI-S increases it is followed by an increase in NO levels in saliva. This phenomenon may be due to an increase in plaque accumulation which might trigger NO production.

The potential bias in this study is the possibility of determining smoking frequency because it is only based on interviews. This research also has limitations in the number of samples because within the timeframe specified for recruiting participants only certain people are willing to participate as research subjects. The results of this study are expected to contribute to further research in order to find strategies to improve oral health in smokers.

**Data availability**

**Underlying data**


This project contains the following underlying data:

- F1000 Raw data for NO concentrations (salivary NO concentrations of each participant).
- F1000 S-OHIS (raw data for plaque and calculus for each participant).
Extended data


This project contains the following extended data:

- Quesioner F1000 research (English translation of the questionnaire used in this study).

Data are available under the terms of the Creative Commons Zero “No rights reserved” data waiver (CC0 1.0 Public domain dedication).

Acknowledgements

We would like to thank Editage (www.editage.com) for their English language editing services.

References


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Muhammad Sohail Zafar

Department of Restorative Dentistry, College of Dentistry, Taibah University, Medina, Saudi Arabia

I have reviewed the manuscript “Salivary nitric oxide, Simplified Oral Hygiene Index, and salivary flow rate in smokers and non-smokers: a cross-sectional study” submitted to “F1000Research” for publication. I found this work interesting and fit well with in the scope of this journal. In this cross-sectional study, authors have analyzed and compared salivary nitric oxide, Simplified Oral Hygiene Index (OHI-S) scores and the salivary flow rate in smokers and non-smokers. The manuscript fits well within the scope of the journal; it needs some major improvements; there are a few suggestions that authors may consider to improve it further:

- Abstract: is structured and has been described clearly; however, there is no description of statistical analysis that should be added to the abstract.

- Introduction; is brief however covering the background information and the rationale of the study effectively.

- Methodology and results have been reasonably presented; OHIS score should be defined.

- Figure 1 and 2: SFR legend is not required and must be deleted to match the style of figure 3.

- Figure 5 and 6: Use same style to describe OHIS; either OHIS or OHI-S?

- The discussion is a little bit brief; authors must include and cite more studies in context comparing their findings with previous studies. For example; why specific saliva collection devices were not used and what are the possible limitations for using Falcon tubes? The authors could include the following paper with relevant information:


- Similarly, how the reduced salivary flow and age may affect the OH and quality of life should
be discussed; the information from the following papers could be included:


○ What are the final conclusions of this study? The key outcome points should be highlighted

**References**


**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

Yes

**Are sufficient details of methods and analysis provided to allow replication by others?**

Partly

**If applicable, is the statistical analysis and its interpretation appropriate?**

Yes

**Are all the source data underlying the results available to ensure full reproducibility?**

Partly

**Are the conclusions drawn adequately supported by the results?**

Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Dentistry, oral sciences

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 10 Mar 2020
Boy Muchlis Bachtiar, Universitas Indonesia, Jakarta, Indonesia

Dear Reviewer,

I am pleased to resubmit for publication the revised version of Salivary nitric oxide, Simplified Oral Hygiene Index, and salivary flow rate in smokers and non-smokers: a cross-sectional study.

We appreciate all the insightful comments provided by the reviewer. Based on the reviewer's guidance, includes a number of positive changes, we endeavored to improve the fit of the paper with the journal.

We hope that these revisions improve the paper such that Editor and the Reviewer now deem it worthy of publication in F1000 Research. Thank you for taking the time to help us improve the paper.

Sincerely,

Authors: 1. Endang W Bachtiar  
2. Atikah Cyntia Putri  
3. Boy M Bachtiar

Comment provided by Reviewer #2

I have reviewed the manuscript “Salivary nitric oxide, Simplified Oral Hygiene Index, and salivary flow rate in smokers and non-smokers: a cross-sectional study” submitted to “F1000Research” for publication. I found this work interesting and fit well with in the scope of this journal. In this cross-sectional study, authors have analyzed and compared salivary nitric oxide, Simplified Oral Hygiene Index (OHI-S) scores and the salivary flow rate in smokers and non-smokers.

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What are the final conclusions of this study? The key outcome points should be highlighted

**Author's response:**

1. Line 60: OHIS score has been defined.
2. Figure 1 and 2: SFR legend has been deleted.
3. Figure 5 and 6: we use OHIS.
4. Discussion section has been revised according to the reviewer suggestion and the suggested references have been added. (Line 193-200, line 227-230).
5. We have stated the final conclusion in Line 220-222

**Competing Interests:** No competing interests were disclosed.
between salivary nitric oxide and the status of oral hygiene index is written clearly. I would like to give the suggestion of adding explanations about why the authors correlated flow rate saliva and status of oral hygiene or add literature that explains about the effect oral hygiene status on salivary flow rate.

Is the work clearly and accurately presented and does it cite the current literature?
Yes

Is the study design appropriate and is the work technically sound?
Yes

Are sufficient details of methods and analysis provided to allow replication by others?
Yes

If applicable, is the statistical analysis and its interpretation appropriate?
Yes

Are all the source data underlying the results available to ensure full reproducibility?
Yes

Are the conclusions drawn adequately supported by the results?
Partly

Competing Interests: No competing interests were disclosed.

Reviewer Expertise: Oral Biology

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We hope that these revisions improve the paper such that Editor and the Reviewer now deem it worthy of publication in F1000 Research. Thank you for taking the time to help us improve the paper.
Sincerely,

Authors: 1. Endang W Bachtiar
2. Atikah Cyntia Putri
3. Boy M Bachtiar

Author's response to reviewer comments

Comment provided by Reviewer #1

This study analyzed Salivary nitric oxide, Simplified Oral Hygiene Index, and salivary flow rate in smokers and non-smokers: a cross-sectional study. The results of the study explained the relationship between unstimulated whole salivary flow rate and the status of oral hygiene also the relationship between salivary nitric oxide concentration and the status of oral hygiene assessed through the OHI-S of smokers but the conclusions do not explain the correlation as mentioned.

This paper is good and is an important addition to the literature. The concept of correlation between salivary nitric oxide and the status of oral hygiene index is written clearly. I would like to give the suggestion of adding explanations about why the authors correlated flow rate saliva and status of oral hygiene or add literature that explains about the effect oral hygiene status on salivary flow rate.

Author’s response:

We thank the reviewer for the suggestions.

As suggested by the reviewer, we have added sentence (line 193-200): Changes in salivary flow rate due to smoking can affect dental and oral hygiene. The study by Dawes and Watanabe reveals that reducing the stimulated salivary flow rate, which retards the rate of salivary clearance of sugar from the oral cavity. Decreasing of the clearance of sugar might be followed by a decrease of OHIS. The increase of salivary flow is much more effective in neutralizing and buffering food acids. The study by Shimazaki et al. reveals that decreased saliva secretion affects both dental caries and general periodontal health status. Ahmad et al also reported that hyposalivation due to the low saliva flow rate related to more caries in the aging population and significantly poor of the quality of life.

**Competing Interests:** No competing interests were disclosed.
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