Evaluation of clinical, histology, TNF-α, and collagen expressions on oral ulcer in rats after treatment with areca nut and chrysanthemum oral gel [version 1; peer review: 1 approved with reservations, 1 not approved]

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Abstract

Background: Areca nut (Areca catechu Linn.) is the seed of the fruit of the oriental palm that is commonly used among Southeast Asian communities. Chrysanthemum (Dendrathema grandiflora) is a flowering plant originating from East Asia and dominantly grows in China. Both of these plants have strong antioxidant activities. To investigate the mechanism of their wound healing activities, we prepared areca nut and chrysanthemum polyethylene oral gel and performed several in vivo assays using Sprague–Dawley rats.

Methods: Sprague–Dawley rats were divided into five groups: Negative control group (rats with base gel treatment), positive control group (rats treated with triamcinolone acetonide), F1 (treatment with 20% areca nut:80% chrysanthemum), F2 (treatment with 50% areca nut:50% chrysanthemum), and F3 (treatment with 80% areca nut:20% chrysanthemum). Traumatic ulcers were performed on the buccal mucosa of all experimental animals that received topical oral gel and triamcinolone acetonide twice a day for seven days. The clinical and histological characteristics were analyzed and scored.

Results: During the six days, the ulcerated area receded linearly over time and was completely cicatrized in F2 and positive control group (Dependent t-test, p<0.05). There were significant increases in body weight in F2 and positive control groups. There were no significant differences between groups in histology examination (Kruskal Wallis test, p<0.05). The moderate score of TNF-α levels was seen in F2 and positive control groups (ANOVA/Tukey test). Similar results were seen in the collagenases assay.

Conclusions: A balanced combination of areca nut and chrysanthemum extract in the oral gel can optimize the healing of traumatic oral ulcers in rats through the increase of TNF-α and...
collagen deposition.

**Keywords**
Oral ulcer, Areca nut, Chrysanthemum, TNF-α, Collagen

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**Author roles:** Meutia Sari L: Conceptualization, Data Curation, Formal Analysis, Funding Acquisition, Investigation, Methodology, Writing – Original Draft Preparation, Writing – Review & Editing; Mubarak Z: Project Administration, Supervision, Validation, Visualization; Keumala Sari D: Conceptualization, Supervision, Writing – Review & Editing

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**Introduction**

Oral ulceration is the most common presentation in the oral cavity caused by many etiologic factors. Most of the etiologies of ulcerative lesions on the oral mucosa are divided into four categories; namely, infectious (bacterial and virus infection), immune-related (autoimmune and allergic), traumatic (mechanical trauma), and neoplastic (oral squamous cell carcinoma). Traumatic ulcers are injuries to the oral mucosa caused by mechanical or physical trauma such as sharp food, accidental biting during mastication, biting while speaking, punctured by sharp objects, fractured, malformed, carious, or malposed teeth on the superficial epithelial layer or underlying connective tissue or may involve both.

Currently, increasing the resolution of wound healing is one of the main priorities in the medical field to accelerate the healing of chronic wounds and traumatic injuries. The process of tissue regeneration and repair occurs immediately after lesion onset. The linear tissue repair and regeneration involves growth factors that induce cell proliferation, especially parenchymal cells, followed by dynamic changes in soluble mediators, blood cells, and the extracellular matrix. The unique oral cavity environment shows advantages in accelerating wound healing compared with skin repair. This is due to differences in the response to inflammation, differentiation and proliferative programs, modulation of stem cells, collagen synthesis, the role of macrophages, and epithelial remodeling. Collagen synthesis and tumor necrosis factor-α (TNF-α) are important components during acute inflammation and responsible for a diverse range of signaling events within cells in the wound-healing process. TNF-α is one of inflammatory cytokine and regulates the immune system.

Areca nut is the seed of the areca palm (Areca catechu Linn.), which grows thrive in tropical Pacific region, South and South East Asia, including Indonesia where it is known as “Pinang”. It has been consumed by people worldwide as part of ancient tradition, custom, or ritual for a long time. Several studies have been conducted to prove the healing ability of areca nut, including that the alkaloid and polyphenols content in areca nut could enhance the healing of burn wounds, leg ulcers, and skin graft surgery. Chrysanthemum or chrysanth (Dendrathema grandiflora) is a flowering plant originating from East Asia and dominantly grows in China. The flowers are generally consumed in herbal teas and as a supplement. Previous studies reported the effect of increasing keratinocyte proliferation and skin regeneration derived from Chrysanthemum boreale. Chrysanthemums are usually used for treating allergies, anxiety, hypertension, inflammation, headache, cold, sore throat, and tinnitus by certain communities.

However, scientific research has not been conducted related to the healing process on the oral mucosa by oral application of these herbal plants. This study aimed to evaluate the potential of the combination of areca nut and chrysanthemum oral gel on oral mucosa using the Sprague–Dawley rat model.

**Methods**

**Ethical approval**

This study was approved by the Ethics Committee for Animal Research of Tropical Biopharmaceutical Research Center (Trop BRC), Bogor Agricultural University, West Java, Indonesia, with number 042-2020-KEH TROP BRC.

**Extract preparation**

Areca nuts were obtained from the Pinang plant from Aceh Besar, Indonesia. 2 kg of areca nuts (gross weight) were cleansed of dirt and dried in the open air and sunlight. Further drying was done using an oven set at a temperature of 50°C. The nuts were crushed using a blender and then strained with a 20-mesh sieve. The maceration process was conducted using 96% ethanol diluent solvent for 7 days before being subsequently filtered and evaporated using a vacuum rotary evaporator at 30–40°C and then reconcentrated using a water bath until a solid dry powder extract was obtained. Chrysanthemum polyethylene (P.E.) (Product No. 1237X17911) was provided by Javaplant Company, Indonesia.

**Formulation of areca nut and chrysanthemum extract oral gel**

The extract was formulated into four gel dosage forms with various concentrations of the extract combination, namely F1 (20% of areca nut:80% of chrysanthemum), F2 (50% of areca nut:50% of chrysanthemum), F3 (80% of areca nut:20% of chrysanthemum), and base gel (gel without areca nut and chrysanthemum). Preparation of the extract oral gel began with making two mixtures. The first mixture consisted of a carbamoyl and extract combination of areca nut and chrysanthemum and mixed with 10 mL of water at 70°C. The second mixture consisted of methylparaben dissolved in a little water and then mixed with a mixture of glycerin and propylene glycol. This mixture was then combined and stirred with water so that it is mixed homogeneously.

**Experimental animals**

A total of 25 adult male Sprague–Dawley rats weighing 200–240 g were provided by the animal laboratory at Tropical Biopharmaceutical Research Center (Trop BRC), Bogor Agricultural University. The rats were kept for adaptation at 25°C in the well-ventilated laboratory for 14 days under a 12/12 h light/dark cycle and fed with a standard pellet diet and
tap water ad libitum before being entered into the experiment. All the animals were given an initial examination for systemic health conditions and stored in boxes with sawdust.

**Experimental protocol to induce the ulcers**

Ulcer induction was performed after the animals were anesthetized by intraperitoneal injection of 33 mg/kg of ketamine and 13 mg/kg of xylazine (2%). The left buccal mucosa was smeared with 10% povidone–iodine on cotton pellets. An abrasion was made with 5 mm diameter, 1 mm depth, and was limited to the mucosa without muscular involvement. The ulceration was performed by using a number 15 scalpel blade. The standardization area was marked with an 8-mm diameter demarcator. The surgical and technique was standardized for animals and performed by the same operator. The ulceration was not performed in the normal group. The formation of the ulcers could be observed after 24 hours.

**Groups and treatment**

The animals were randomly divided into five groups with 12 animals each: negative control group (rats with base gel treatment), positive control group (rats treated with triamcinolone acetonide), F1 (treatment with 20% areca nut:80% chrysanthemum), F2 (treatment with 50% areca nut:50% chrysanthemum), and F3 (treatment with 80% areca nut:20% chrysanthemum). A normal group (without ulcer and treatment) was added for the microscopic evaluation. All the groups were treated every 12 hours for seven days with topical application. The application of the oral gel was performed by using the individual sterile and disposable dental micro brush (SDent, USA). Each group was sacrificed gradually through the end of the seventh-day study period. After the animals were sacrificed, a section of buccal mucosa containing the ulcer of each rat was collected. Histopathology, immunohistochemistry, and collagenases evaluation were analyzed in Primate Research Center, Bogor Agricultural University, Indonesia.

**Clinical evaluation**

The animal body weights and diameter of the ulcers were measured before and after treatment on the second and seventh days. The diameter of the ulcers were measured with the naked eyes using a digital stainless vernier caliper which is capable of measuring diameter in 0.05 mm increments. All measurements were performed by the same operator.

**Histopathological analysis**

The collected fragments of ulcers were identified and immersed in 10% formol for 24 hours. After fixation in formol, the specimens were macroscopically analyzed, subjected to dehydration in crescent alcoholic series, diaphanized in xylol, impregnated in paraffin, and melted at 60°C. The fragments were packed in paraffin-forming blocks at room temperature. The fragments were sectioned to 5 μm in thickness through the use of microtome and histology using routine coloration by hematoxylin-eosin (HE) was performed. The histopathological parameters were determined and scored from 0 to 4 according to previously published criteria (Table 1).

**Immunohistochemistry analysis**

The selected tissue sections of the ulcers (2.5 μm) were deparaffinized and rehydrated with distilled water. Blocking endogenous peroxidase activity was done using 3% hydrogen peroxide solution for 15 minutes, followed by washing with phosphate-buffered saline (PBS) three times every 5 minutes. After protein blocking, the dripping of Biocare’s Background Sniper was performed and the specimens were incubated for 15 minutes at 37°C. The dripping of normal serum was performed and incubated for 60 minutes at 37°C. The specimens were washed with PBS and anti-TNF-α antibody (TNFA/1172) (ab220210Abcam), was diluted at 1:100, and then dripped and incubated at 4°C for two days. After washing with PBS, the secondary antibody goat anti-rabbit IgG H&L horseradish peroxidase (HRP) (RRID: AB_955447; ab6721-Abcam) was given for 30 minutes at 25°C and followed by Betazoid DAB chromogen solution (BDB2004H-Biocare Medicare LLC). It was used for increasing stability and staining intensity for HRP detection in the specimens. The specimens were washed with distilled water and checked with the microscope. After counterstaining with

<table>
<thead>
<tr>
<th>Score</th>
<th>Epithelium</th>
<th>Conjunctive tissue</th>
</tr>
</thead>
<tbody>
<tr>
<td>0</td>
<td>No ulcer</td>
<td>Remodeled</td>
</tr>
<tr>
<td>1</td>
<td>No ulcer</td>
<td>Fibrosis and slight chronic inflammation</td>
</tr>
<tr>
<td>2</td>
<td>With ulcer</td>
<td>Fibrosis and moderate chronic inflammation</td>
</tr>
<tr>
<td>3</td>
<td>With ulcer</td>
<td>Chronic inflammation process (granulation tissue)</td>
</tr>
<tr>
<td>4</td>
<td>With ulcer</td>
<td>Acute process (dilated vessels, mixed inflammatory infiltrate with neutrophils)</td>
</tr>
</tbody>
</table>
hematoxylin for 30 seconds, the specimens were rinsed in tap water, dehydrated, purified, and mounted. The percentage of nuclear and cytoplasmic expression in the connective tissue was divided into four scores, namely: 0: no positive cells; 1 (mild): 1–33% of positive cells; 2 (moderate): 34–66% of positive cells; 3 (intense): 67–100% of positive cells. Two observers analyzed the same scores until they were considered as the final scores.

Collagenesis analysis
The same fragments were sectioned to a thickness of 3 μm, de-wax, hydrate paraffin section, and stained using picrosirius red (solution A) for one hour. After washing in acidified water (solution B), the specimens were dehydrated and mounted for observation under polarized light microscopy. The collagen bundles shown in red in the image were then calculated using Adobe Photoshop CC 2017 (RRID: SCR_014199; GNU Image Manipulation Program (RRID: SCR_003182) is an open-access alternative) and ImageJ (v1.50i) (RRID: SCR_003070) software. The percentage of collagen was derived from collagen area pixel divided by tissue pixel and multiply by 100%. The mean of three percentages was used as a sample unit.

Statistical analysis
All experiments were carried out in triplicate and the data were expressed as mean ± standard deviation (SD). Statistical analysis was performed by using the SPSS 20 software (SPSS Inc., Chicago, USA) (RRID: SCR_019096); IASP (RRID: SCR_015823) is an open-access alternative. Clinical evaluation in changes of the area of ulcer and body weight of rats analyzed by the dependent t-test. Differences among samples in histopathological and immunohistochemistry analysis were analyzed by using the Kruskal–Wallis test. Collagenesis analysis was evaluated by using analysis of variance (ANOVA/Tukey test). A significant difference was assumed at \( p < 0.05 \).

Results
Clinical evaluation
The change comparison of ulcer size analysis in the buccal mucosa of rats showed a significant decrease in ulcer size in F1, F2, F3, and positive control groups (Figure 1A–J, Table 2). This observation was carried out on the second day after the ulcer was formed and at the end of the experiment. The most significant decrease in ulcer area was seen in positive control (triamcinolone acetonide orabase) and F2 treatment groups. Notably, the average ulcer size in positive control and F2 treatment on the second day was 10.10 ± 0.17 and 10.08 ± 0.16 mm\(^2\). On the seventh day, it was seen that the size of the ulcer had almost closed, namely 0.03 ± 0.01 and 0.11 ± 0.07 mm\(^2\). These data analyses showed that treatment with areca nut and chrysanthemum oral gel caused significantly \( (p < 0.05) \) ulcer healing in a dose-dependent manner. Analysis of changes in body weight showed a significant increase in the F2 and positive control groups, while a significant decrease in body weight occurred in the F1 and F3 treatment groups (Table 2). Meanwhile, in the negative control group, there was a decrease in body weight, but it was not significant.

Histological evaluation
To verify the mechanism of ulcer healing by areca nut and chrysanthemum oral gel, the ulcers were subjected to histological examination. As seen in Table 3, the mean of histological scores on the seventh day showed that positive control, F1, and F3 groups indicated that there were no ulcers in the epithelial layer, presence of fibrosis, and slight chronic inflammation in the connective tissue. The score 0 indicating no ulcers and remodeling in the connective tissue was seen in the negative control, F2, and normal groups, but significant differences between groups were not detected in histology examination. Analysis of photomicrograph of ulceration showed loss of ulcer with remodeled connective tissue and accompanied by a slight inflammatory infiltrate in Figure 2B, 2C, and 2E (score 1). There were no visible inflammations in Figure 2A, 2D, and 2F (score 0).

Immunohistochemical evaluation
The immunostaining for TNF-\( \alpha \) aims to show changes in TNF-\( \alpha \) expression released by connective tissue cells in the cytoplasm during the healing process (Figure 3). The increase in the expressions with a mean score of 2 (moderate) was shown by positive control (2.67 ± 0.58) and F2 groups (2.33 ± 0.58) (Table 3). The lower scores (1-mild) were seen in the negative control, F1, F3, and normal groups. This study showed significant differences between the six groups with changes in TNF-\( \alpha \) expression. These results were in line with the pictures that revealed brown diffuse granular-like staining pattern of the connective tissue and epidermis in the Figure 3B and 3D, while the other groups did not show dominant TNF-\( \alpha \) expressions except in the F3 group, the immunostaining of TNF-\( \alpha \) was prominently found in the basal cell layer (Figure 3E).

Collagenesis evaluation
The results of red picrosirius staining on the last experimental day showed the highest collagen deposition in the F2 group with a mean of 25.4 ± 2.12, while the lowest collagen deposition while collagen deposition in low level was seen in the F3
group (5.63 ± 2.28) (Table 3). Figure 4B (Positive control) and 4D (F2 group) showed regular, dense, and thick collagen, whereas, in the negative control (Figure 4F), collagen deposition showed thin, irregular, and many empty gaps. The ANOVA analysis showed significant differences ($p < 0.05$) in collagen expression between groups (Table 3). The Tukey
test demonstrated significant differences between F2 and F3 groups. Other significant differences were evaluated between F1, F2, F3, and negative control groups.

**Discussion**

In the present study, we demonstrated that application of the oral gel to ulcerated lesions for 6 days showed significant lesion healing in the composition of the oral gel containing balanced areca nut and chrysanthemum (50%:50%). This significant wound healing was based on the clinical appearance such as ulcer size reduction, reepithelization over the ulcer base, and formulation of granulation tissue around the ulceration. This result has the same clinical appearance as the positive control group that using triamcinolone. Areca nut, as one of the main ingredients, contains phenolic and flavonoids which function as antioxidants. Catechin is a component of flavonoids present in areca nut that acts as an antioxidant by chelating the ions and scavenging the free radicals particularly, superoxide (O2–), peroxyl, hydroxyl radicals (·OH), and hence inhibit both DNA damage and lipid peroxidation, which can cause membrane damage. Catechin can reduce the expression of interleukin-6 (IL-6) and IL-8 which function to overcome inflammation and increase the wound healing process by chemotactic for fibroblasts, accelerates their migration and stimulates deposition of tenascin, fibronectin, and collagen I during wound healing in vivo. The success of using areca nut in oral gel to heal the ulcers is in line with a previous study using ointment with 2% ethanolic extract on burns on the skin. Previous studies have shown that chrysanthemum has antioxidant, antiproliferative, antimicrobial, acetylcholine esterase inhibition, antimelanogenic, and antiviral activities, especially *Chrysanthemum morifolium*, *Chrysanthemum indicum*, and *Chrysanthemum coronarium*. The active phytochemistry content of chrysanthemum is apigenin-7-O-glucoside.

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**Table 2. Effect of areca nut and chrysanthemum oral gel on the area of ulcer and body weight changes in rats on the 2nd and 7th experimental days (n = 60).**

<table>
<thead>
<tr>
<th>Groups</th>
<th>Clinical evaluation</th>
<th>Area of ulcer changes (mm²)</th>
<th>Body weight changes (g)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td></td>
<td>2⁰ day</td>
<td>7⁰ day</td>
</tr>
<tr>
<td>Negative control</td>
<td>9.34 ± 0.69</td>
<td>5.24 ± 8.89</td>
<td>0.131</td>
</tr>
<tr>
<td>Positive control</td>
<td>10.10 ± 0.17</td>
<td>0.03 ± 0.01</td>
<td>0.000*</td>
</tr>
<tr>
<td>F1</td>
<td>10.04 ± 0.18</td>
<td>2.84 ± 0.44</td>
<td>0.000*</td>
</tr>
<tr>
<td>F2</td>
<td>10.08 ± 0.16</td>
<td>0.11 ± 0.07</td>
<td>0.000*</td>
</tr>
<tr>
<td>F3</td>
<td>10.08 ± 0.18</td>
<td>3.49 ± 0.42</td>
<td>0.000*</td>
</tr>
</tbody>
</table>

Data are expressed as mean ± SD, *p < 0.05 (dependent t-test) denotes statistically significant differences between before and after treatment on the 2nd and 7th experimental days. Negative control: base gel; Positive control: triamcinolone acetonide orabase treatment; F1: 20% areca nut:80% chrysanthemum; F2: 50% areca nut:50% chrysanthemum; F3: 80% areca nut:20% chrysanthemum.

**Table 3. Effect of areca nut and chrysanthemum oral gel on histological scores, number of connective tissue cells that expressed TNF-α, and percentage of collagen deposition area on the 7th experimental day (n = 18).**

<table>
<thead>
<tr>
<th>Parameter</th>
<th>Microscopic evaluation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Groups</td>
</tr>
<tr>
<td>Histological scores</td>
<td>0</td>
</tr>
<tr>
<td>TNF-α immunostaining scores</td>
<td>1.33 ± 0.58</td>
</tr>
<tr>
<td>Collagen deposition area (%)</td>
<td>14.90 ± 0.95</td>
</tr>
</tbody>
</table>

Data are expressed as mean ± SD, *p < 0.05 (One-way ANOVA) denotes statistically significant differences between control and treatment groups on the 7th experimental day. Negative control: base gel treatment; Positive control: triamcinolone acetonide orabase treatment; F1: 20% areca nut:80% chrysanthemum; F2: 50% areca nut:50% chrysanthemum; F3: 80% areca nut:20% chrysanthemum; Normal: without ulcer and treatment.
This present study observed the body weight of rats before and after application. There are similarities between ulcer repair and weight gain. A significant increase in body weight in the F2 and positive control showed faster healing of ulcers, making it easier for rats to consume more food. The use of healthy rats aims to evaluate the effect of ulcers in the oral cavity purely based on the ability of rats to gain healing process without being affected by systemic diseases that can affect rat’s body weight. Areca nut is capable of repressing prostaglandin E2 (PGE2) and arachidonic acid-induced inflammation, thereby helping to accelerate ulcer healing.26 The analgesic or antinociceptive activity of the areca nut is originated by inhibition of prostaglandin synthesis. The oral gel form was chosen to facilitate absorption in the oral mucosa and it has a rapid cool soothing effect, although the drawback is that the gel is easily soluble in saliva. Application twice a day in the morning and evening regularly showed a fairly good cicatrization process in both groups (F2 and positive control). The increase in body weight in the rat showed that in the last few days the diet was not disturbed by the size of the ulcer.

Figure 2. Histopathological analysis of the traumatic ulcers in the buccal mucosa at second and seventh day. (A) Negative control: base gel; (B) Positive control: triamcinolone acetonide in orabase; (C) F1: 20% areca nut:80% chrysanthemum; (D) F2: 50% areca nut:50% chrysanthemum; (E) F3: 80% areca nut:20% chrysanthemum; (F) Normal group: without ulcer and treatment (Hematoxylin and eosin, 40×).
Angiogenesis is the next step of ulcer healing. It allows the supply of nutrients and oxygen to the ulcerated area thereby giving the collagen in connective tissue and epithelium an opportunity to recover. Matrix formation is the last step of ulcer repair. This remodeling formation is regulated by the temporospatial expression of the fibrillar and basement membrane collagen (types I, III, IV), and matrix metalloproteinase-2 (MMP-2). Fibronectin is important for cell proliferation, adhesion, differentiation, and matrix formation.

In the present study, the analysis of TNF-α showed that the increase in the moderate expressions was showed by positive control and F2 groups. This is in line with research by Kobayashi et al. which showed that the water-soluble component in dried chrysanthemum has antioxidant ability to increase TNF-α. This activity was observed within 3 hours after ulcer formation.8 TNF-α is a cytokine produced primarily by immune cells such as monocytes/macrophages, but several cell types, such as T and B lymphocytes, natural killer cells, neutrophils, fibroblasts, and osteoclasts can also secrete TNF-α in smaller quantities.30 TNF-α levels which had the same score between F2 and positive control on the seventh day showed that the amount of this cytokine was still high detected in the local connective tissue. Prolonged or remaining elevated expression of TNF-α may occur for up to the third to the fifth day following the traumatic injury.31 Prolonged increase in TNF-α especially in delayed healing reflects their augmented blood TNF-α, suggesting an association between impaired healing and hard work TNF-α in the inflammatory response so that wound healing is achieved.32 In this study, we did not examine the level of TNF-α in the blood so that the role of areca nut-chrysanthemum oral gel to accelerate wound healing systemically cannot be explored.

Triamcinolone acetonide was chosen as a positive control because this preparation has efficacy as a synthetic corticosteroid that has anti-inflammatory, antipruritic, and anti-allergic effects. This preparation has the advantage of being an emollient dental paste that can attach the drug to the oral mucosal tissue so that it is not easily soluble in saliva. An important role of steroids in triamcinolone acetonide is to alter the localization of high junction proteins thereby

Figure 3. Immunohistochemistry analysis of the traumatic ulcers in the buccal mucosa on the second and seventh day. (A) Negative control: base gel; (B) Positive control: triamcinolone acetonide in orabase; (C) F1: 20% areca nut:80% and chrysanthemum; (D) F2: 50% areca nut:50% chrysanthemum; (E) F3: 80% areca nut:20% chrysanthemum; (F) Normal group: without ulcer and treatment (TNF-α immunostaining, 40×)
increasing the adhesion density between epithelial cells. A tight epithelial protective barrier can be achieved through tight cell adhesion. Contacts between adjacent cells are made up of tight junctions, adherens junctions, and desmosomes which help in preserving tissue homeostasis. This study showed that with the use of triamcinolone acetonide and F2 group on the seventh day, the ulcers had closed tightly without any inflammation. These results prove that there might be a possibility of areca nut–chrysanthemum oral gel also can close the adhesion bonds between epithelial cells so that the level of wound closure density which is similar to that of the group positive control (triamcinolone acetonide).

Collagenesis analysis showed a lot of collagen deposition in the F2 group. The results of this study are in line with the fact that the alkaloids in areca nut are potent inducers to increase the amount of collagen. Previous research has shown that a series of synthetic arecaidine esters by oral mucosa fibroblast was closely correlated with the extent of collagen synthesis. Alkaloids in the areca nut in a long term play a major role in the accumulation of collagen in oral submucous fibrosis (OSF). Collagen is a key component of the extracellular matrix that plays a critical role in the regulation of the phases of wound healing. Collagen exposure due to injury in the inflammatory phase will activate the clotting cascade, resulting in a fibrin clot that stops the initial bleeding. It also acts as potent chemoattractants for neutrophils, enhancing phagocytosis and immune response and modulating gene expressions. Collagen promotes anti-inflammatory, proangiogenic wound macrophage phenotype via microRNA signaling. In the angiogenesis phase, collagen stimulates angiogenesis in vitro and in vivo through the engagement of specific integrin receptors. The C-propeptide fragment of collagen I recruit endothelial cells, potentially triggering angiogenesis in the healing wound.

The results of the evaluation of collagen deposition after treatment, with picrosirius red staining, showed a picture of collagen with thicker density, large fiber size, and lots of collagen fibrils in the oral gel with a balanced composition of areca nut and chrysanthemum and a positive control group. This shows the good work of collagen to repair wounds.
What's interesting from this observation is that, although there were groups that received treatment with a higher composition of areca nut in oral gel, this was not able to induce collagen as in a balanced composition of areca nut and chrysanthemum. This explains that the ulcer healing rate in this study was not dose dependent but more dependent on the peak efficacy of each phytochemistry composition of an extract.

**Conclusion**

The present study provides the activity of the healing effect of combination areca nut and chrysanthemum. This oral gel can improve ulcer wound healing through the role of TNF-α and collagen with the same results as topical steroid therapy.

**Data availability**

**Underlying data**

Dryad: Underlying data for 'Evaluation of clinical, histology, TNF-α, and collagen expressions on oral ulcer in rats after treatment with areca nut and chrysanthemum oral gel'. https://doi.org/10.5061/dryad.8w9ghx3nw.

The project contains the following underlying data: body weight, oral ulcer’s size, histology and tnf-α score, collagen percentage.

**References**

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Open Peer Review

Current Peer Review Status: 

Version 1

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Nurrul Shaqinah Nasaruddin
Department of Craniofacial Diagnostics and Biosciences, Faculty of Dentistry, Universiti Kebangsaan Malaysia, Kuala Lumpur, Malaysia

1. The major concern of this manuscript is the technique of treatment process. While the rats are fully awake, it is very difficult to apply the topical on the ulcerated buccal mucosa. The rats will chew the probe and it is very difficult to visualize the lesions for monitoring. Since the mucosal epithelium is highly dividing cells, how sure are you the healing occur due to treatment given?

2. Among all inflammatory cytokines, why TNF-alpha was chosen?

3. The contrast color for special staining picrosirius red should be yellow and red, and the red indicates positive for collagen. However, the pictures were lacked with the contrast and all the tissues appear homogenously red.

4. The author should briefly explain the histology findings in the figure legend.

5. The clinical evaluation results were contradicted with histology evidence, whereby the author mentioned that the ulcer is almost healed. but histologically, it showed complete healing with thick stratified epithelium.

Is the work clearly and accurately presented and does it cite the current literature?
Yes

Is the study design appropriate and is the work technically sound?
Partly

Are sufficient details of methods and analysis provided to allow replication by others?
Partly
If applicable, is the statistical analysis and its interpretation appropriate?
Yes

Are all the source data underlying the results available to ensure full reproducibility?
Partly

Are the conclusions drawn adequately supported by the results?
Yes

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** pathology, histology

I confirm that I have read this submission and believe that I have an appropriate level of expertise to confirm that it is of an acceptable scientific standard, however I have significant reservations, as outlined above.

Author Response 29 Sep 2021

Liza Sari, Syiah Kuala University, Banda Aceh, Indonesia

Dear Reviewer,
I would like to respond to the comment's report:

1. The major concern of this manuscript is the technique of the treatment process. While the rats are fully awake, it is very difficult to apply the topical on the ulcerated buccal mucosa. The rats will chew the probe and it is very difficult to visualize the lesions for monitoring. Since the mucosal epithelium is highly dividing cells, how sure are you the healing occur due to treatment given?

**Answer:** In this study, we performed oral gel application on the buccal mucosa of the rat twice a day at 8 am and 8 pm. This application is by holding the rats, opening his mouth gently and slowly, then applying to the wound and holding it for about 20-30 seconds. After that, the mice were isolated briefly for 1 hour to avoid eating and drinking. We try our best to make the gel last well even if it's only for a few minutes, considering that this gel dissolves easily in saliva. We have acknowledged that this is one of the limitations of this study. We hope that with further research, we can make a formulation of topical oral gel in orabase so that it can last longer in the mouth. However, despite the brief application of the buccal mucosa, cellular changes can be seen differently on immunohistochemistry examination and collagenase assay after seven days of treatment.

The extent of absorption of materials through the skin or oral mucosa depends on the surface area over which the substance is applied, the concentration of the substance administered, the lipid solubility of the material or vehicle, whether the skin surface is intact, the skin thickness at the site of application, the length of time that the material is in contact with the skin surface, and the degree of skin hydration and surface occlusion, in that covered and well-hydrated skin absorbs substances faster than does uncover or dry
skin.

The surface of the buccal mucosa is covered by non-keratinized stratified squamous epithelium. Keratinized mucosa is less permeable to the drugs as compared to the non-keratinized mucosa. This causes the buccal mucosa to more easily absorb the drug than the gingiva and palate. The turnover of the buccal epithelium which is due to differentiation and desquamation of the epithelium also accelerates the wound healing process happens faster. The turnover time for the buccal epithelium has been estimated at 14 days. Oral mucosa is well-hydrated skin that can absorb substances faster than dry skin. We have added this explanation in the “Discussion” section.

2. Among all inflammatory cytokines, why TNF-alpha was chosen?

Answer: This research was initiated with lesions originating from simple injuries. We hope that the development of the results of this study can help the development of herbal extracts to accelerate the healing of oral diseases such as mucositis, RAS, and traumatic ulcers.

The reason why we choose TNF-α is that TNF-α is a central regulator of inflammation. TNF-α is also a crucial cytokine in the establishment and maintenance of inflammation in multiple autoimmune diseases.

Although its precise role in wound healing is not fully understood, however, Ritsu et al. (Ritsu M, Kawakami K, Kanno E, et al. Critical role of tumor necrosis factor-α in the early process of wound healing in skin. J. Dermatol. Dermatol. Surg. 2017. Vol 21(1). 14-19) reported that TNF-α is involved in the early process of wound healing. It is quickly released and initiates inflammation at wound tissues. The wound healing was accelerated on day 3 when mice were treated with bioactive TNF-α. Another previous study reported that impaired healing in animal models and age-related delayed healing of acute human wounds exhibit raised local and systemic levels of TNF-α that may parallel the pro-inflammatory phenotype. Despite previous studies showed varying results, there is an interest in observing the effect of TNF-α levels in ulcer healing after application of an oral topical gel.

3. The contrast color for special staining picrosirius red should be yellow and red, and the red indicates positive for collagen. However, the pictures lacked contrast and all the tissues appear homogenously red.

The resulting photos consist of two types; polarized and non-polarized light microscopy. The polarized colors of Picosirius red staining depend only on fiber thickness and packing, not on the composition of the specific collagen type within collagen bundles. Under polarized light, the oral mucosa sections seem to show collagen type 1 which showed thicker and denser fibers on the seventh day. We don't know why the red color was very generalized in the oral mucosa section although the Picosirius staining was done according to the standard procedure (as you can see in Figures 1a and b, the section was taken from one of the photos of positive control).

We searched the reason from another source (Kiernan JA. Sirius red for collagen staining...
protocol. The University of Western Ontario, Canada). It was said that if we used the polarized light, sometimes we will lose "the yellow background" of picric acid staining. The loss of the yellow cytoplasmic background is probably caused by the dehydrating process.
4. The author should briefly explain the histology findings in the figure legend.

Thank you for the advice given. We have added the histology findings in the figure legend.

5. The clinical evaluation results were contradicted histology evidence, whereby the author mentioned that the ulcer is almost healed. but histologically, it showed complete healing with thick stratified epithelium.

All measurements were performed by the same operator. We analyzed the ulcers directly and through photos. Histological examination results were analyzed several weeks after the visual examination was performed. At that time, some lesions showed the tip of the wound still have a light and thin ulcer while the other end had healed completely. The clinical examination data are the actual data based on our observations before seeing the histological results. The histological score showed that the group F1, F3, and positive control (Figure 2) had a score of 1. The normal, negative control and F2 showed a score of 0. We
decided to perform the first data just the way they were without adjusting with the histological assessment.

**Competing Interests:** We do not have competing interests

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**Reviewer Report 16 August 2021**

https://doi.org/10.5256/f1000research.58412.r91931

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**Gunawan Indrayanto**

Faculty of Pharmacy, Surabaya University, Surabaya, Indonesia

Some important methods and data were not reported:

1. Scientific identification of the plant was missing.

2. Stability evaluation of the extract was missing.

3. No validation data of the biological assays were reported, without any validation, the validity of the data cannot be confirmed (Z factors, Selective Index, etc.)

4. No need to report the significance statistic evaluations, data should be presented ad Mean +/- Confidence interval; p values cannot be trusted.

5. Add Gandevia et al. (2021) and related references.

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**References**


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**Is the work clearly and accurately presented and does it cite the current literature?**

Partly

**Is the study design appropriate and is the work technically sound?**

No

**Are sufficient details of methods and analysis provided to allow replication by others?**

No

**If applicable, is the statistical analysis and its interpretation appropriate?**
No

Are all the source data underlying the results available to ensure full reproducibility?  
Partly

Are the conclusions drawn adequately supported by the results?  
No

**Competing Interests:** No competing interests were disclosed.

**Reviewer Expertise:** Analytical Method Development and its Validation; Natural Product Chemistry, Herbal Drugs

I confirm that I have read this submission and believe that I have an appropriate level of expertise to state that I do not consider it to be of an acceptable scientific standard, for reasons outlined above.

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Author Response 08 Sep 2021

**Liza Sari**, Syiah Kuala University, Banda Aceh, Indonesia

- **Scientific identification of the plant was missing.**
  - **Response:** This research is a series of research on the benefits of areca nut seeds that has been started in 2012. We have published several publications since 2016 using areca nut extract from the same source (Aceh Besar). We apologize for the negligence or forgetting to re-enter the scientific identification that has been done. We have re-entered the plant identification in the “Extract preparation” section in “Methods”. Thank you for your accuracy in reviewing.

- **Stability evaluation of the extract was missing.**
  - **Response:** We have added the stability evaluation in the manuscript.

- **No validation data of the biological assays were reported, without any validation, the validity of the data cannot be confirmed (Z factors, Selective Index, etc.)**
  - **Response:** We have included the validation data in the manuscript especially the selectivity index of areca nut and chrysanthemum. The studies of areca nut on oral squamous cell carcinoma cell lines (HSC-2 dan HSC-3 cell lines) and human dermal keratinocytes (HaCat cell lines) have been published in 2 previous journals:

- **No need to report the significance statistic evaluations, data should be presented ad Mean +/- Confidence interval; p values cannot be trusted.**
○ **Response:** Data have been changed to “Mean ± SD, 95% CI, *p*-value”. Several statements in “Discussion” dan “Results” has undergone changes regarding data interpretations.

○ *Add Gandevia et al. (2021) and related references.*

○ **Response:** We have added: Gandevia S. Replication: Do not trust your *p*-value, be it small or large. J Physiol 2021;599(11):2989-90.

**Competing Interests:** The authors declare no conflict of interests

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Comments on this article

**Version 1**

Author Response 09 Oct 2021

Liza Sari, Syiah Kuala University, Banda Aceh, Indonesia

Reviewer 2 response:

Dear Prof,

- The major concern of this manuscript is the technique of the treatment process. While the rats are fully awake, it is very difficult to apply the topical on the ulcerated buccal mucosa. The rats will chew the probe and it is very difficult to visualize the lesions for monitoring. Since the mucosal epithelium is highly dividing cells, how sure are you the healing occur due to treatment given?

- **Response:** In this study, we performed oral gel application on the buccal mucosa of the rat twice a day at 8 am and 8 pm. This application is by holding the rats, opening his mouth gently and slowly, then applying to the wound and holding it for about 20-30 seconds. After that, the mice were isolated briefly for 1 hour to avoid eating and drinking. We try our best to make the gel last well even if it's only for a few minutes, considering that this gel dissolves easily in saliva. We have acknowledged that this is one of the limitations of this study. We hope that with further research, we can make a formulation of topical oral gel in orabase so that it can last longer in the mouth. However, despite the brief application of the buccal mucosa, cellular changes can be seen differently on immunohistochemistry examination and collagenase assay after seven days of treatment. The extent of absorption of materials through the skin or oral mucosa depends on the surface area over which the substance is applied, the concentration of the substance administered, the lipid solubility of the material or vehicle, whether the skin surface is intact, the skin thickness at the site of application, the length of time that the material is in contact with the skin surface, and the degree of skin hydration and surface occlusion, in that covered and well-hydrated skin absorbs substances faster than does uncover or dry skin. The surface of the buccal mucosa is covered by non-keratinized stratified squamous epithelium. Keratinized mucosa is less permeable to the
drugs as compared to the non-keratinized mucosa. This causes the buccal mucosa to more easily absorb the drug than the gingiva and palate. The turnover of the buccal epithelium which is due to differentiation and desquamation of the epithelium also accelerates the wound healing process happens faster. The turnover time for the buccal epithelium has been estimated at 14 days. Oral mucosa is well-hydrated skin that can absorb substances faster than dry skin. We have added this explanation in the “Discussion” section.

- **Among all inflammatory cytokines, why TNF-alpha was chosen?**
- **Response:** This research was initiated with lesions originating from simple injuries. We hope that the development of the results of this study can help the development of herbal extracts to accelerate the healing of oral diseases such as mucositis, RAS, and traumatic ulcers. The reason why we choose TNF-α is that TNF-α is a central regulator of inflammation. TNF-α is also a crucial cytokine in the establishment and maintenance of inflammation in multiple autoimmune diseases. Although its precise role in wound healing is not fully understood, however, Ritsu et al. (Ritsu M, Kawakami K, Kanno E, et al. Critical role of tumor necrosis factor-α in the early process of wound healing in skin. J. Dermatol. Dermatol. Surg. 2017. Vol 21(1). 14-19) reported that TNF-α is involved in the early process of wound healing. It is quickly released and initiates inflammation at wound tissues. The wound healing was accelerated on day 3 when mice were treated with bioactive TNF-α. Another previous study reported that impaired healing in animal models and age-related delayed healing of acute human wounds exhibit raised local and systemic levels of TNF-α that may parallel the pro-inflammatory phenotype. Despite previous studies showed varying results, there is an interest in observing the effect of TNF-α levels in ulcer healing after application of an oral gel.

- **The contrast color for special staining picrosirius red should be yellow and red, and the red indicates positive for collagen. However, the pictures lacked contrast and all the tissues appear homogenously red.**
- **Response:** The resulting photos consist of two types; polarized and non-polarized light microscopy. The polarized colors of Picosirius red staining depend only on fiber thickness and packing, not on the composition of the specific collagen type within collagen bundles. Under polarized light, the oral mucosa sections seem to show collagen type 1 which showed thicker and denser fibers on the seventh day. We don’t know why the red color was very generalized in the oral mucosa section although the Picosirius staining was done according to the standard procedure (as you can see in Figures 1a and b in this comment field, the section was taken from one of the photos of positive control). We searched the reason from another source (Kiernan JA. Sirius red for collagen staining protocol. The university of Western Ontario, Canada). It was said that if we use the polarized light, sometimes we will lose “the yellow background” of picric acid staining. The loss of the yellow cytoplasmic background is probably caused by the dehydrating process.
Figure 1.a. Polarized light microscopy (Positive control)
Figure 1. b. Non-polarized light microscopy (Positive control)

- The author should briefly explain the histology findings in the figure legend.
- Response: Thank you for the advice given. We have added the histology findings in the figure legend.

- The clinical evaluation results were contradicted histology evidence, whereby the author mentioned that the ulcer is almost healed. but histologically, it showed complete healing with thick stratified epithelium.
- Response: All measurements were performed by the same operator. We analyzed the ulcers directly and through photos. Histological examination results were analyzed several weeks after the visual examination was performed. At that time, some lesions showed the tip of the wound still have a light and thin ulcer while the other end had healed completely. The histological score showed that the group F1, F3, and positive control (Figure 2) had a score of 1. The normal, negative control and F2 showed a score of 0. The visual data are the actual data based on our observations before seeing the histological results.
**Competing Interests:** We declare no competing interests

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